

REGULATION 8.130 TRANSACTION REPORTING FORM

LICENSEE INFORMATION

LEGAL NAME	
TRADE NAME	
ADDRESS	
INDIVIDUAL TO CONTACT/TITLE	
PHONE NUMBER	(    )

TRANSACTION TYPE

Loan		Lease	
Guaranty		Capital Contribution	
Donation of Property		Conversion of Accounts Payable To a Note	

If other than above, please specify \_\_\_\_\_

Does a written agreement exist to support this transaction? Yes ☐ **Submit copy of agreement.** No ☐

INFORMATION ON OTHER PARTY TO THE CONTRACT

NAME OF LENDER, LESSOR OR OTHER (INDICATE RELATIONSHIP)	
ADDRESS	

NOTE: A supplemental information form may need to be completed for certain parties to the transaction. See instructions for additional information.

DESCRIPTION OF TRANSACTION

Transaction Date	
Transaction Amount	
Purpose	
Interest Rate (if applicable)	
Payment Amount and frequency	
Fair Market Value of Property (see instructions)	

If this transaction is a modification or renewal of a previously filed transaction, please indicate the date of the original transaction and describe any changes:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Collateral and Guaranties - Please describe any collateral or guaranties used to secure this transaction. Identify legal owner of any collateral. Attach a copy of any relevant agreement.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Other Parties - Please list any endorsers, co-signers or participants. Include name and address of other party(ies) and a description of the person's or entity's involvement.

Was a finder's fee paid? Yes ☐ Amount \_\_\_\_\_ No ☐ Note: Regulation 5.120 defines finder's fees and requires that advance approval be obtained before paying the fee.

If this filing is delinquent, please provide an explanation:

I \_\_\_\_\_ (print name) do hereby swear under penalty of perjury that I am the \_\_\_\_\_ (print title) of the legal entity reporting this transaction and that, to the best of my knowledge and belief, the assertions contained in these documents are true, accurate and complete. NOTE: Form must be signed by an owner, corporate officer or key employee as defined in Regulation 3.110.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date